JUL

PTO/SB/21 (04-04)

| TRANSMITTAL  |            | Application Number                                     | 10/684,205  |  |  |
|--|------------|--|---|--|--|
| ***************************************              |            | Filing Date  | October 10, 2003  |  |  |
| FORM   |            | First Named Inventor                                   | Fred Christians   |  |  |
| (to be used for all correspondence after initial     | al filing) | Art Unit   | TBD   |  |  |
|  |            | Examiner Name  | TBD   |  |  |
| otal Number of Pages in This Submission              | 5          | Attorney Docket Number                                 | 3523.2  |  |  |
| :  | ENCLO      | SURES (check all that apply)                           |   |  |  |
| Fee Transmittal Form                                 | ☐ Drawin   | g(s)   | After Allowance Communication to Technology Center (TC) |  |  |
| Fee Attached   | Licensi    | ing-related Papers                                     | Appeal Communication to Board of                        |  |  |
| <u>.</u> T   | Petition   |  | Appeals and Interferences  Appeal Communication to TC   |  |  |
| Amendment / Reply                                    |            | •  | (Appeal Notice, Brief, Reply Brief)                     |  |  |
| After Final  |            | n to Convert to a<br>ional Application                 | Proprietary Information                                 |  |  |
| Affidavits/declaration(s)                            |            | of Attorney, Revocation<br>e of Correspondence Address | Status Letter   |  |  |
| Extension of Time Request                            |            | al Disclaimer  | Other Enclosure(s) (please identify below):             |  |  |
|  | Reque      | st for Refund  | - 8 references  |  |  |
| Express Abandonment Request                          | CD, N      | umber of CD(s)   | - 1449 form<br>- cover letter                           |  |  |
| Information Disclosure Statement                     |            | .,,  |   |  |  |
| Certified Copy of Priority Document(s)               | Rema       | irks ,   | <u></u>   |  |  |
| Response to Missing Parts/<br>Incomplete Application |            |  |   |  |  |
| Response to Missing                                  |            |  |   |  |  |
| Farts under 37 CFR 1.52 or 1.53                      | _          |  |   |  |  |
| SIGNA  | TURE OF    | APPLICANT, ATTORNEY, (                                 | OR AGENT  |  |  |
| Firm  or Individual name  Sandra E. Wells, Reg       |            |  |   |  |  |
| Signature Audig G., Ny W                             |            |  |   |  |  |
| Date July 8, 2004                                    |            |  |   |  |  |
| C  | ERTIFICA   | TE OF TRANSMISSION/MA                                  | ILING   |  |  |

Typed or printed name Julia Le Date July 8, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Christians et. al.

Serial No:

10/684,205 -

Filing Date:

October 10, 2003

Title:

**Method for Depleting Specific** 

Nucleic Acids from a Mixture

Examiner:

TBD

Group Art Unit:

TBD

INFORMATION DISCLOSURE

STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application. In accordance with 37 CFR 1.98(d), references #30-37 are included herewith.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

Applicants believe that <u>no fee is required</u> for submission of this statement.

However, if an Office Action on the merits has been mailed, the Commissioner is hereby

authorized to charge the required fees to Account No. 01-0431 in order to have this Information Disclosure Statement considered.

Dated: July 8, 2004

Respectfully submitted,

Customer No. 22886
Legal Department
Affymetrix, Inc.
3380 Central Expressway
Santa Clara, CA 95051

Tel: (408) 731-5000/Fax (408) 731-5392

Sandra Wells

Reg. No. 52, 349

PTO/SB/08a (08-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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## Under the DENIFESTITUTE for form 1449A/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

| Complete if Known      |                  |  |  |  |
|------------------------|------------------|--|--|--|
| Application Number     | 10/684,205       |  |  |  |
| Filing Date            | October 10, 2003 |  |  |  |
| First Named Inventor   | Fred Christians  |  |  |  |
| Art Unit               | TBD              |  |  |  |
| Examiner Name          | TBD              |  |  |  |
| Attorney Docket Number | 3523.2           |  |  |  |

| Examiner Cite |              | Document Number                            | Publication Date | Name of Patentee or Applicant of | Pages, Columns, Lines, Where Relevan   |
|---------------|--------------|--|------------------|----------------------------------|--|
| Initials *    | No.1         | Number - Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       | Cited Document                   | Passages or Relevant<br>Figures Appear |
|               | 11           | US-6,617,170                               | 09-09-2003       | Augello et al.                   |  |
|               | 2            | US- 6,602,718                              | 08-05-2003       | Augello et al.                   |  |
|               | 3            | US- 6,613,516                              | 09-02-2003       | Christians et al.                |  |
|               | 4            | US- 6,040,138                              | 03-21-2000       | Lockhart et al.                  |  |
|               | 5            | US- 6,391,592                              | 05-21-2002       | Su et al.                        |  |
|               | 6            | US- 5,437,990                              | 08-01-1995       | Burg et al.                      |  |
|               | 7            | US- 5,215,899                              | 06-01-1993       | Dattagupta                       |  |
|               | 8            | US- 5,466,586                              | 11-14-1995       | Davey et al.                     |  |
|               | 9            | US- 4,357,421                              | 11-02-1982       | Emtage et al.                    |  |
|               | 10           | US- 2002-0120409 A1                        | 08-29-2002       | Cao et al.                       |  |
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|               | 12           | US- 6,582,906                              | 06-24-2003       | Cao et al.                       |  |
|               | 13           | US- 5,800,992                              | 09-01-1998       | Fodor et al.                     |  |
|               | 14           | US- 5,677,195                              | 10-14-1997       | Winkler et al.                   |  |
|               | 15           | US- 5,744,305                              | 04-28-1998       | Fodor et al.                     |  |
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|               | 18           | US- 6,040,193                              | 03-21-2000       | Winkler et al.                   |  |
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|               | 24           | US- 6,495,320                              | 12-17-2002       | Lockhart et al.                  |  |
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|               | 27           | US- 5,856,174                              | 01-05-1999       | Lipshutz et al.                  |  |
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|               | 29           | US- 6,043,080                              | 03-28-2000       | Lipshutz et al.                  |  |
|               |              |  |                  |                                  |  |
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|               | <b>†</b>     |  |                  |                                  |  |

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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|               | Substitute for form 1449A/PTO     |                |     |          | Complete if Known      |                  |  |
|---------------|-----------------------------------|----------------|-----|----------|------------------------|------------------|--|
|               |                                   |                |     |          | Application Number     | 10/684,205       |  |
|               | INFO                              | <b>RMATION</b> | DIS | CLOSURE  | Filing Date            | October 10, 2003 |  |
|               | STATEMENT BY APPLICANT            |                |     | PPLICANT | First Named Inventor   | Fred Christians  |  |
|               |                                   |                |     |          | Art Unit               | TBD              |  |
|               | (Use as many sheets as necessary) |                |     |          | Examiner Name          | TBD              |  |
| $\overline{}$ | Sheet                             | 2              | of  | 2        | Attorney Docket Number | 3523.2           |  |

|                       | FOREIGN PATENT DOCUMENTS |  |                    |                                |  |                |  |  |
|-----------------------|--------------------------|--|--------------------|--------------------------------|--|----------------|--|--|
| <b>5</b>              | Cite                     | Foreign Patent Document  | Publication        | Name of Patentee or            | Pages, Columns, Lines,<br>Where Relevant |                |  |  |
| Examiner<br>Initials* | No.1                     | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>il known</i> ) | Date<br>MM-DD-YYYY | Applicant of Cited<br>Document | Cited                                    | T <sup>6</sup> |  |  |
|                       | 30                       | EP 0373960   | 06-20-1990         | Gingeras et al.                |  |                |  |  |
|                       | 31                       | W0 88/10315  | 12-29-1988         | Gingeras et al.                |  |                |  |  |
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| Examiner<br>Signature | Date<br>Considered |  |
|-----------------------|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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| INIE  |                       | NI DIO      | OL COURT   | Application Number     | 10/684,205       |  |
|       |                       |             | CLOSURE    | Filing Date            | October 10, 2003 |  |
| ST    | ATEMENT               | BYA         | PPLICANT   | First Named Inventor   | Fred Christians  |  |
|       |                       |             |            | Art Unit               | TBD              |  |
|       | (Use as man           | y sheets as | necessary) | Examiner Name          | TBD              |  |
| Shee  | et 1                  | of          | 1          | Attorney Docket Number | 3523.2           |  |

| _                      |              | NON PATENT LITERATURE DOCUMENTS   | ,              |
|------------------------|--------------|---|----------------|
| Examiner<br>Initials * | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| :                      | 32           | WU et al. "The ligation amplification reaction (LAR)amplification of specific DNA sequences using sequential rounds of template-dependent ligation." Genomics. 1989 May;4(4):560-9.   |                |
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|                        |              |   |                |

| Examiner  | Date       |   |
|-----------|------------|---|
| Signature | Considered | J |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

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